SHEET 1 OF 4

FORM PTO-1449 U.S. Department of Commerce Patent and Trademark Office

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

ATTY. DOCKET NO.

500.42993X00

SERIAL NO. 10/628,516

APPLICANT

STATEMENT BY APPLICANT M. KITSUREGAWA, et al															
· (Use several sheets if necessary)										FILING DATE GROUP July 29, 2003 2161					
U.S. PATENT DOCUMENTS															
EXAMINER INITIAL		DOCUMENT NUMBER						DATE	NAME CLA		SUBCLASS	FILING DATE			
PC		6	7	3	2	1	2	4	5/04		Koseki et al				
	2	0	0	9	8	4	2	5	5/04		Wiss et al				
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K		A. Bhide, "An Efficient Scheme for Providing High Availability", IBM TJ Watson Research Center, pp. 236-245.													
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SHEET <u>2</u> OF <u>4</u> SERIAL NO. FORM PTO-1449 U.S. Department of Commerce ATTY. DOCKET NO. Patent and Trademark Office 500.42993X00 10/628,516 INFORMATION DISCLOSURE APPLICANT STATEMENT BY APPLICANT M. KITSUREGAWA, et al (Use several sheets if necessary) FILING DATE GROUP July 29, 2003 2161 **U.S. PATENT DOCUMENTS** EXAMINER INITIAL FILING DATE DOCUMENT NUMBER DATE CLASS SUBCLASS NAME WATANABE et al. 6,748,502 6-2004 2003/0074600 A1 04-2003 TAMATSU 2002/0133507 A1 09-2002 HOLENSTEIN et al. 2004/0133591 A1 07-2004 HOLENSTEIN et al. 07-2002 2002/0095547 A1 WATANABE et al. KANEKO et al. 4,821,172 04-1989 6,502,205 12-2002 YANAI et al. 6,643,795 11-2003 SICOLA et al. FOREIGN PATENT DOCUMENTS DOCUMENT NUMBER DATE COUNTRY CLASS SUBCLASS ABSTRACT YES NQ OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) Rahm, Performance Evaluation of Extended Storage Architectures for Transaction Processing, University of Kaiserslautern, Germany. Veritas Volume Replicator, Enterprise-Class Disaster Recovery for Mission-Critical Environments, 1992. R. VanNatta, "Crash Recovery" Database Management System, 2nd Edition, pp. 1-26.

DATE CONSIDERED

EXAMINER: Initial if citation is considered, draw line through citation if not in conformance and not

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considered. Include copy of this form with next communication to applicant.

(Form PTO-1449 [6-4])

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	(Use several sheets if necessary)		FILING DATE July 29, 2003	GROUP 2161						
	U	.S. PATENT	DOCUMENTS							
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	(Use several sheets if necessary)		FILING DATE July 29, 2003	GROUP 2161						
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FORM PTO-1449 U.S. Department of Commerce Patent and Trademark Office INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary) ATTY. DOCKET NO. 500.42993X00 10/628,516 APPLICANT Masaru KITSUREGAWA et al. FILING DATE

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(For Initial Filing)

The following references are submitted as information to comply with the duty of disclosure under 37 CFR 1.56(a):

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	References	specific Yes	No	Enc.	Follow	Please obtain	Enc.	Not avail- able
1	Jim Gray and Andreas Reuter, "TRANSACTION PROCESSING: CONCEPTS AND TECHNIQUES", Morgan Kaufman Publishers, 1993, pp. 556-557 and 604-609	х		x				
2	. U.S. Patent No. 5,640,561	x		x				
3	Patent Application Serial No. entitled DATABASE PROCESSING METHOD AND SYSTEM USING LOG INFORMATION, PROCESSING PROGRAM THEREOF, AND STORAGE UNIT FOR EXECUTION THEREOF	x		х				
4	•							
5								

Reference 3 is a Patent Application sent to you with another order letter with Our Ref: W1082-01 instructing you to file together with this Application on the same day by the Applicants' specified due date of July 31, 2003. See the mutual CROSS-REFERENCE TO RELATED APPLICATIONS in both Applications.

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